

# Open spin chain asymptotic states

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# Outline I

- 1 **Open quantum spin chain**
- 2 **Master equation**
- 3 **Asymptotic States**

## Weak-coupling limit: still a source of puzzling effects

- **Global Hamiltonian:**  $H_\lambda(g) = H_S(g) + H_{env} + \lambda H_{int}$
- **Weak-coupling limit:**  $\lambda \rightarrow 0$ ,  $t \rightarrow \infty$ , so that  $\lambda^2 t = \tau$  fixed
- **Reduced dynamics:**

$$\rho_S(t) = w - \lim_{\lambda, t} \text{Tr}_{env} \left( e^{-itH_\lambda(g)} \rho_S \otimes \rho_{env} e^{itH_\lambda(g)} \right)$$

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Issue: **exchangeability of limits**

$$w - \lim_{\lambda, t} \lim_{g \rightarrow g^*} \stackrel{?}{=} \lim_{g \rightarrow g^*} w - \lim_{\lambda, t}$$

**XX** spin-chain with **open** boundary conditions

$$\begin{aligned}
 H &= \Delta \sum_{\ell=1}^N \sigma_z^{(\ell)} + g \sum_{\ell=1}^{N-1} \left( \sigma_x^{(\ell)} \sigma_x^{(\ell+1)} + \sigma_y^{(\ell)} \sigma_y^{(\ell+1)} \right) \\
 &= \Delta \sum_{\ell=1}^N \sigma_z^{(\ell)} + 2g \sum_{\ell=1}^{N-1} \left( \sigma_+^{(\ell)} \sigma_-^{(\ell+1)} + \sigma_-^{(\ell)} \sigma_+^{(\ell+1)} \right)
 \end{aligned}$$

- **Jordan-Wigner fermionization:**

$$c_j = \prod_{k=1}^{j-1} (-\sigma_z^{(k)}) \sigma_-^{(j)}, \quad \{c_j, c_k^\dagger\} = \delta_{jk}$$

$$\sigma_-^{(j)} = \prod_{k=1}^{j-1} (1 - 2c_k^\dagger c_k) c_j$$

• Bogoljubov transformation:

$$b_\ell = \sum_{j=1}^N u_{\ell j} c_j, \quad u_{\ell k} = \sqrt{\frac{2}{N+1}} \sin\left(\frac{\ell k \pi}{N+1}\right)$$

$$\{b_j, b_\ell^\dagger\} = \delta_{j\ell}, \quad c_j = \sum_{\ell=1}^N u_{j\ell} b_\ell$$

## Diagonal Hamiltonian

$$H_N = \sum_{\ell=1}^N \omega_\ell b_\ell^\dagger b_\ell, \quad \omega_\ell = 2\Delta + 4g \cos\left(\frac{\ell\pi}{N+1}\right)$$

## Eigenfrequencies

- decrease with  $N$ :

$$\begin{aligned}\omega_1 &= 2\Delta + 4g \cos \frac{\pi}{N+1} \geq \omega_2 \geq \\ &\geq \omega_3 \geq \dots \geq \omega_N = 2\Delta - 4g \cos \frac{\pi}{N+1}\end{aligned}$$

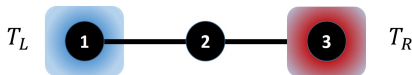
- All positive when  $0 \leq \ell \leq \frac{N+1}{2}$  and

$$\omega_N = 2\Delta - 4g \cos \frac{\pi}{N+1} \geq 0 \iff g \leq \frac{\Delta}{2 \cos \frac{\pi}{N+1}}$$

- $\omega_{p-1} \geq 0$  but  $0 \geq \omega_p \geq \dots \geq \omega_N$  when  $p > \frac{N+1}{2}$  and

$$\frac{\Delta}{2 \left| \cos \frac{\pi(p-1)}{N+1} \right|} \geq g > \frac{\Delta}{2 \left| \cos \frac{\pi p}{N+1} \right|}$$

## Open quantum spin chain



- Chain ends ( $\alpha = L, R$ ) coupled to **Bosonic thermal baths**

$$H_{int} = \lambda \sum_{\alpha=L,R} \left( \sigma_+^{(\alpha)} \mathfrak{B}_\alpha + \sigma_-^{(\alpha)} \mathfrak{B}_\alpha^\dagger \right)$$

$$\sigma_-^{(L)} = \sigma_-^{(1)} = \sum_{j=1}^N u_{1j} b_j$$

$$\sigma_-^{(R)} = \sigma_-^{(N)} = (-1)^{\sum_{\ell=1}^N b_\ell^\dagger b_\ell} \sum_{j=1}^N u_{Nj} b_j$$



## Thermal baths

- Bath operators

$$\mathfrak{B}_\alpha = \int_0^\infty d\nu h_\alpha(\nu) \mathbf{a}_\alpha(\nu), \quad h_\alpha^*(\nu) = h_\alpha(\nu)$$

$$[\mathbf{a}_\alpha(\nu), \mathbf{a}_{\alpha'}^\dagger(\nu')] = \delta_{\alpha,\alpha'} \delta(\nu - \nu')$$

- Bath thermal states at inverse temperatures  $\beta_{L,R}$ :

$$\rho_{env} = \frac{e^{-\beta_L H_L}}{\text{Tr}(e^{-\beta_L H_L})} \otimes \frac{e^{-\beta_R H_R}}{\text{Tr}(e^{-\beta_R H_R})}$$

$$H_\alpha = \int_0^{+\infty} d\nu \nu \mathbf{a}_\alpha^\dagger(\nu) \mathbf{a}_\alpha(\nu)$$

- Thermal expectations:

$$\mathrm{Tr}_B\left(\rho_{env} \mathbf{a}_\alpha^\dagger(\nu) \mathbf{a}_{\alpha'}(\nu')\right) = \delta_{\alpha\alpha'} \delta(\nu - \nu') n_\alpha(\nu)$$

$$\mathrm{Tr}_B\left(\rho_{env} \mathbf{a}_\alpha(\nu) \mathbf{a}_{\alpha'}^\dagger(\nu')\right) = \delta_{\alpha\alpha'} \delta(\nu - \nu') (1 + n_\alpha(\nu))$$

$$n_\alpha(\nu) = \frac{1}{e^{\beta_\alpha \nu} - 1}, \quad \boxed{\nu \geq 0}.$$

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Counter-rotating spin-bath couplings:

$$H_{int} = \lambda \sum_{\alpha=L,R} \left( \sigma_+^{(\alpha)} \mathfrak{B}_\alpha + \sigma_-^{(\alpha)} \mathfrak{B}_\alpha^\dagger \right)$$

$$\sigma_+^{(\alpha)} \mathbf{a}_\alpha(\nu), \quad \sigma_-^{(\alpha)} \mathbf{a}_\alpha^\dagger(\nu)$$

## Setting

$$H = H_N(\mathbf{g}) + \sum_{\alpha=R,L} H_\alpha + H_{int}, \quad H_\alpha = \int_0^{+\infty} d\nu \nu \mathbf{a}_\alpha^\dagger(\nu) \mathbf{a}_\alpha(\nu)$$

$$H_N(\mathbf{g}) = \sum_{\ell=1}^N \omega_\ell b_\ell^\dagger b_\ell, \quad \omega_\ell = 2\Delta + 4g \cos\left(\frac{\ell\pi}{N+1}\right)$$

$$H_{int} = \lambda \sum_{\alpha=L,R} \sum_{\ell=1}^N u_{\alpha\ell} \left( b_{\alpha\ell}^\dagger \mathfrak{B}_\alpha + b_{\alpha\ell} \mathfrak{B}_\alpha^\dagger \right)$$

$$b_{\alpha\ell} = \begin{cases} b_\ell & \dots \quad \alpha = L \\ (-)^{\sum_{k=1}^N b_k^\dagger b_k} b_\ell & \dots \quad \alpha = R \end{cases}$$

Weak-Coupling Limit:  $\lambda \rightarrow 0$ ,  $\lambda^2 t = \tau$

- Initial state:  $\rho_{\text{tot}}(0) = \rho \otimes \rho_{\text{env}}$

Master equation:  $\partial_t \rho_t = -i [H_N(\mathbf{g}), \rho_t] + \lambda^2 \tilde{\mathbb{L}}[\rho_t]$

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$$\tilde{\mathbb{L}}[\rho] = - \lim_{T \rightarrow +\infty} \frac{1}{T} \int_0^T ds \int_0^{+\infty} dt \times$$

$$\times \text{Tr}_B \left( \left[ \mathbf{H}_{\text{int}}(t, s), \left[ \mathbf{H}_{\text{int}}(0, s), \rho \otimes \rho_{\text{env}} \right] \right] \right)$$

$$\begin{aligned}
 \mathbf{H}_{\text{int}}(t, s) &= e^{i(t+s)\mathbf{H}_N(\mathbf{g})} e^{it(\mathbf{H}_L+\mathbf{H}_R)} \mathbf{H}_{\text{int}} e^{-it(\mathbf{H}_L+\mathbf{H}_R)} e^{-i(t+s)\mathbf{H}_N(\mathbf{g})} \\
 &= \sum_{\alpha=L,R} \sum_{\ell=1}^N u_{\alpha\ell} \int_0^{+\infty} d\nu h_{\alpha}(\nu) \left( e^{it(\omega_{\ell}-\nu)} e^{is\omega_{\ell}} b_{\alpha\ell}^{\dagger} a_{\alpha}(\nu) + h.c. \right)
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 \mathbf{H}_{\text{int}}(0, s) &= e^{is\mathbf{H}_N(\mathbf{g})} \mathbf{H}_{\text{int}} e^{-is\mathbf{H}_N(\mathbf{g})} \\
 &= \sum_{\alpha=L,R} \sum_{\ell=1}^N u_{\alpha\ell} \int_0^{+\infty} d\nu h_{\alpha}(\nu) \left( e^{is\omega_{\ell}} b_{\alpha\ell}^{\dagger} a_{\alpha}(\nu) + h.c. \right)
 \end{aligned}$$

Contributions from  $\text{Tr}_B \left( \left[ \mathbf{H}_{\text{int}}(t, s), \left[ \mathbf{H}_{\text{int}}(0, s), \rho \otimes \rho_{\text{env}} \right] \right] \right)$

- $\int_0^{+\infty} dt e^{it(\omega_\ell - \nu)} : \pi \delta(\omega_\ell - \nu) + i P.V. \frac{1}{\omega_\ell - \nu}$
- Ergodic average**  $\lim_{T \rightarrow +\infty} \frac{1}{T} \int_0^T ds e^{is(\omega_\ell - \omega_{\ell'})} : \omega_\ell = \omega_{\ell'} \Leftrightarrow \ell = \ell'$
- Thermal expectations:**  $\alpha = \alpha', \nu = \nu'$ ,

$$\text{Tr}_B \left( \rho_{\text{env}} \mathbf{a}_\alpha(\nu) \mathbf{a}_\alpha^\dagger(\nu) \right), \quad \text{Tr}_B \left( \rho_{\text{env}} \mathbf{a}_\alpha^\dagger(\nu) \mathbf{a}_\alpha(\nu) \right)$$

## Global vs Local approach

- **Global approach:**  $H_N(g)$  with  $g \neq 0$
- **Local approach:** set  $g = 0$  and **change**  $H_N(0)$  to  $H_N(g)$  **after** the weak-coupling limit

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**In the following: global approach**

F.B., R. Floeanini, L. Memarzadeh, PRX Quantum 2, 030344 (2021)

GKSL master equation:  $\tilde{\mathbb{L}}[\rho] = -i[H_{LS}, \rho] + \mathbb{D}[\rho]$

$$\frac{\partial \rho_t}{\partial t} = -i[H_N(\mathbf{g}) + \lambda^2 H_{LS}, \rho_t] + \lambda^2 \mathbb{D}[\rho(t)] = \mathbb{L}[\rho_t]$$

- **Lamb-shift correction:** all frequencies contribute

$$\begin{aligned}
 H_{LS} &= \sum_{\ell=1}^N \sum_{\alpha=L,R} u_{\alpha\ell}^2 \underbrace{\left( P.V. \int_0^{+\infty} \frac{h_{\alpha}^2(\nu)(1 + 2n_{\alpha}(\nu))}{\omega_{\ell} - \nu} \right)}_{h_{\ell}} \underbrace{b_{\alpha\ell}^{\dagger} b_{\alpha\ell}}_{b_{\ell}^{\dagger} b_{\ell}} \\
 &= \lambda^2 \sum_{\ell=1}^N h_{\ell} b_{\ell}^{\dagger} b_{\ell}
 \end{aligned}$$

- **Dissipative correction:** only positive frequencies contribute:

$$\begin{aligned}
 \mathbb{D}[\rho] &= \sum_{\ell=1}^N \mathbb{D}_\ell[\rho] \\
 \mathbb{D}_\ell[\rho] &= \sum_{\alpha=L,R} 2\pi h_\alpha^2(\omega_\ell) u_{\alpha\ell}^2 \boxed{\Theta(\omega_\ell)} \times \\
 &\times \left\{ \left(1 + n_\alpha(\omega_\ell)\right) \left( b_{\alpha\ell} \rho b_{\alpha\ell}^\dagger - \frac{1}{2} \left\{ b_{\alpha\ell}^\dagger b_{\alpha\ell}, \rho \right\} \right) \right\} \\
 &\times \left\{ n_\alpha(\omega_\ell) \left( b_{\alpha\ell}^\dagger \rho b_{\alpha\ell} - \frac{1}{2} \left\{ b_{\alpha\ell} b_{\alpha\ell}^\dagger, \rho \right\} \right) \right\}
 \end{aligned}$$

## Generator

$$\mathbb{L}[\rho] = \sum_{\ell=1}^N \mathbb{L}_{\ell}[\rho]$$

$$\mathbb{L}_{\ell}[\rho] = -i \left[ (\omega_{\ell} + \lambda^2 h_{\ell}) b_{\ell}^{\dagger} b_{\ell}, \rho \right] + \lambda^2 \mathbb{D}_{\ell}[\rho]$$

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Open chain dynamics:

$$\mathbb{L}_{\ell} \mathbb{L}_k = \mathbb{L}_k \mathbb{L}_{\ell} \implies \gamma_t = e^{t\mathbb{L}} = \prod_{\ell=1}^N e^{t\mathbb{L}_{\ell}}$$



## Stationary states of quantum dynamical semigroups

A. Frigerio, Commun. Math. Phys. 63 (1978)

Given a generator

$$\mathbb{L}[\rho] = -i[H, \rho] + \sum_k \left( V_k \rho V_k^\dagger - \frac{1}{2} \{ V_k^\dagger V_k, \rho \} \right)$$

seek the **commutant**  $\mathcal{C}$  of the set  $\{H, V_k, V_k^\dagger\}$ .

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seek the **commutant**  $\mathcal{C}$  of the set  $\{H, V_k, V_k^\dagger\}$ .

if  $\mathcal{C} = \{1\}$  then there is only one stationary state such that

$$\mathbb{L}[\rho_\infty] = 0$$

if  $\mathcal{C}$  is **commutative**, generated by orthogonal projections

$$P_n P_m = \delta_{nm} P_n, \quad \sum_n P_n = 1,$$

given a **faithful** stationary state  $\rho_\infty$ , then the **stationary states** form a **convex** set:

$$\rho_\infty^{\{\lambda_n\}} = \sum_n \lambda_n \frac{P_n \rho_\infty P_n}{\text{Tr}(\rho_\infty P_n)}, \quad \lambda_n = \text{Tr}(\rho P_n),$$

where  $\rho$  is any initial state.

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Proof:

$$\mathcal{C} = \{P_n\}_n \ \& \ \mathbb{L}[\rho_\infty] = 0 \implies \mathbb{L}[P_n \rho_\infty P_n] = P_n \mathbb{L}[\rho_\infty] P_n = 0$$

## Result 1

All frequencies  $\omega_\ell \geq 0 \implies$  all  $b_\ell^\dagger b_\ell, b_{\alpha\ell}, b_{\alpha\ell}^\dagger$  contribute  
 $\implies$  commutant  $\mathcal{C} = \{1\} \implies$  unique stationary state  $\rho_\infty$ :

$$\rho_\infty = \prod_{\ell=1}^N (\mu_\ell + \nu_\ell b_\ell^\dagger b_\ell) \implies \mathbb{L}_k[\rho_\infty] = 0$$

$$\mu_\ell = \frac{C_\ell}{C_\ell + \tilde{C}_\ell} \quad \nu_\ell = \frac{\tilde{C}_\ell - C_\ell}{C_\ell + \tilde{C}_\ell}$$

$$C_\ell = 2\pi \sum_{\alpha=L,R} u_{\alpha\ell}^2 h_\alpha^2(\omega_\ell) (1 + n_\alpha(\omega_\ell))$$

$$\tilde{C}_\ell = 2\pi \sum_{\alpha=L,R} u_{\alpha\ell}^2 h_\alpha^2(\omega_\ell) n_\alpha(\omega_\ell)$$

## Result 2

Coupling  $g$  such that **only** frequencies  $\omega_1 \geq \omega_2 \geq \dots \omega_{p-1} \geq 0$

$\implies b_\ell^\dagger b_\ell, b_{\alpha\ell}^\dagger, b_{\alpha\ell}, \ell = p, \dots, N$  **do not** appear in  $\mathbb{L}$

$\implies$  **commutant**  $\mathcal{C}$  generated by **orthogonal projections**

$$P_{\mathbf{n}} = \prod_{k=p}^N P_k^{(n_k)}, \quad P_k^{(n_k)} = \begin{cases} b_k^\dagger b_k & \cdots & n_k = 0 \\ b_k b_k^\dagger & \cdots & n_k = 1 \end{cases}$$

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## Invariant states

$$\rho_{\mathbf{n}} = \frac{P_{\mathbf{n}} \rho_\infty P_{\mathbf{n}}}{\text{Tr}(P_{\mathbf{n}} \rho_\infty)} = \prod_{\ell=1}^{p-1} \left( \mu_\ell + \nu_\ell P_\ell^{(0)} \right) \prod_{k=p}^N \left( \mu_k + \nu_k n_k \right) P_k^{(n_k)}$$

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  - 1 **Global approach**: master equation **quadratic** in **fermionic** operators
  - 2 **Independent** dynamics of **each Fermionic** degree of freedom

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- Which consequences of phase transitions on the generator  $\mathbb{L}$ ?  
at  $g = \frac{\Delta}{2}$  the gap of  $H_N(g)$  closes